



# IRA & Coverdell Education Savings Account Transfer Form

[If this is for a new IRA Account, an IRA Application must accompany this form.]

Mail to: Buffalo Funds  
c/o U.S. Bancorp Fund Services, LLC  
PO Box 701  
Milwaukee, WI 53201-0701

Overnight Express Mail to: Buffalo Funds  
c/o U.S. Bancorp Fund Services, LLC  
615 E. Michigan St. FL 3  
Milwaukee, WI 53202-5207

For additional information, please call toll-free **1-800-49-BUFFALO (1-800-492-8332)** or visit us on the web at **www.buffalofunds.com**.

## ! Important Shareholder Information

*There may be penalties for withdrawing certain investments before their maturity (i.e., certificates of deposit or annuities). Please contact your current custodian or plan administrator prior to submitting this form to determine the applicable time frames and penalties, if any, and/or if you need a signature guarantee in Section Seven to order this transfer. U.S. Bancorp Fund Services, LLC will initiate your request upon receipt of this form.*

### 1. Investor Information

NAME \_\_\_\_\_ SOCIAL SECURITY NUMBER \_\_\_\_\_  
ADDRESS \_\_\_\_\_  
CITY / STATE / ZIP \_\_\_\_\_  
(\_\_\_\_\_) \_\_\_\_\_ (\_\_\_\_\_) \_\_\_\_\_  
DAYTIME PHONE NUMBER EVENING PHONE NUMBER

### 2. Instructions to Current IRA Custodian or Plan Administrator

Please include a copy of your current account statement.

CURRENT CUSTODIAN OR PLAN ADMINISTRATOR \_\_\_\_\_  
ACCOUNT NUMBER \_\_\_\_\_ CONTACT PERSON \_\_\_\_\_ CONTACT NUMBER \_\_\_\_\_  
ADDRESS \_\_\_\_\_

Consider this your authorization to transfer my IRA, SEP IRA, SIMPLE IRA, or Roth IRA or to directly rollover my qualified retirement plan as directed below:

- All Assets  
OR  
 \$ \_\_\_\_\_ or \_\_\_\_\_%

Please process this request:

- immediately  
OR  
 at maturity \_\_\_\_\_ (month / day / year)

\* Please liquidate all  
assets if no selection  
is made.

Send the check representing the assets payable to "Buffalo Funds" along with a copy of this form to:

Buffalo Funds  
FBO [Shareholder Name]  
[Account Number]  
c/o US Bancorp Fund Services, LLC  
PO Box 701  
Milwaukee, WI 53201-0701

### 3. Type of Account Being Transferred / Rolled Over

- |  |  |
|--|--|
| <input type="checkbox"/> Traditional IRA                           | <input type="checkbox"/> Profit Sharing Plan |
| <input type="checkbox"/> Roth IRA (year originally funded _____)   | <input type="checkbox"/> 401(k)              |
| <input type="checkbox"/> SEP IRA                                   | <input type="checkbox"/> 403(b)              |
| <input type="checkbox"/> SIMPLE IRA (year originally funded _____) | <input type="checkbox"/> Roth 401(k)         |
| <input type="checkbox"/> Pension                                   | <input type="checkbox"/> Roth 403(b)         |
| <input type="checkbox"/> Other _____                               |  |

### 4. Conversion of Traditional IRA to Roth IRA

- Check here if you are distributing assets from a Traditional IRA with the intention of establishing a Roth IRA.

**5. Investment Choices:** A Buffalo Funds IRA Account Application must be completed to process this transfer if a new account is being established.

		Amount		Percentage
<input type="checkbox"/> Buffalo Balanced Fund	(1440)	\$ _____	OR	_____ %
<input type="checkbox"/> Buffalo Large Cap Fund	(1441)	\$ _____	OR	_____ %
<input type="checkbox"/> Buffalo Growth Fund	(1442)	\$ _____	OR	_____ %
<input type="checkbox"/> Buffalo High Yield Fund	(1443)	\$ _____	OR	_____ %
<input type="checkbox"/> Buffalo Science & Technology Fund	(1445)	\$ _____	OR	_____ %
<input type="checkbox"/> Buffalo Mid Cap Fund	(1446)	\$ _____	OR	_____ %
<input type="checkbox"/> Buffalo Micro Cap Fund	(1447)	\$ _____	OR	_____ %
<input type="checkbox"/> Buffalo China Fund	(1448)	\$ _____	OR	_____ %
<input type="checkbox"/> Buffalo International Fund	(1449)	\$ _____	OR	_____ %

\*The Buffalo Small Cap Fund is currently closed to new investors.

**6.  Check if this transfer is going to an existing Buffalo IRA Account.** Account # \_\_\_\_\_

**7. Age 70 ½ Information**

*(Does not apply to Roth IRAs)*

Check one of the following:

- I am under the age of 70 ½ and do not turn 70 ½ at any time during the calendar year  
OR  
 I am age 70 ½ or older and understand that no part of my required minimum distribution is eligible for transfer or rollover. I further understand that there may be significant tax penalties if a transfer or rollover of my required distribution occurs.

**8. Signature and Certification**

I certify that I have established an IRA with the Buffalo Funds, of which Great Plains Trust Co. is the trustee. I agree to contact my present Custodian from whom I am transferring to determine if specific documentation or a signature guarantee is required. I understand that I am responsible for determining my eligibility for all transfers or direct rollovers. I agree to hold Great Plains Trust Co. and its agent harmless against any and all situations arising from an ineligible transfer or direct rollover. I acknowledge that the Trustee or its agent cannot provide legal advice and I agree to consult with my own tax professional for advice.

I authorize Great Plains Trust Co. and its agent to act on my behalf in contacting the current custodian or plan administrator to facilitate the transfer of assets.

**X** \_\_\_\_\_  
DEPOSITOR / LEGALLY RESPONSIBLE INDIVIDUAL'S SIGNATURE

\_\_\_\_\_  
DATE (Mo / Dy / Yr)

\_\_\_\_\_  
SIGNATURE GUARANTEE\* (FOR TRANSFERS FROM ANOTHER CUSTODIAN)

**IMPORTANT:** Please contact your current Custodian to determine if a signature guarantee\* is required.

\* A signature guarantee may be obtained from any eligible guarantor institution, as defined by the Securities and Exchange Commission. These institutions include banks, saving associations, credit unions, and brokerage firms. The words "SIGNATURE GUARANTEED" must be stamped or typed near each of your signatures being guaranteed. The guarantee must appear with the printed name, title, and signature of an officer and the name of the guarantor institution. Please note that a Notary Public Seal or Stamp is not acceptable.

**9. Acceptance**

Trustee Authorization: Great Plains Trust Co., or its agent, accepts its appointment as Trustee of the above IRA account and upon receipt of assets, will deposit such assets in a Buffalo Funds IRA on behalf of the Depositor authorizing this transfer or direct rollover.

Appointment as trustee accepted:  
Great Plains Trust Co., Trustee  
U.S. Bancorp Fund Services, LLC, Agent



**Before you mail, have you:**

- Completed an IRA Account Application if the transfer of direct rollover is going to a new account?  
 Included documents from your current custodian or plan administrator, if required?  
 Signed the application in Section 8?